Physicochemical Characterization of Simulated Intestinal Fed-State Fluids Containing Lyso-Phosphatidylcholine and Cholesterol

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ABSTRACT
An array of simulated intestinal fed-state fluids, where phosphatidylcholine (PC) was replaced by lyso-phosphatidylcholine (LPC) and cholesterol (Chol) was added, were visualized with Cryogenic Transmission Electron Microscopy (Cryo-TEM). Micelles were the dominating structural features, emphasizing the micellar-forming characteristics of LPC. Upon increase of the monoglyceride (MG) level, unilamellar vesicles and multivesicular structures were formed. These findings suggest the solubilization of poorly soluble drugs might be affected by the intermediate colloidal phases produced in the gastrointestinal tract.

INTRODUCTION
Small intestinal fluid contains various endogenous surfactants, including bile salts (BS) and phospholipids (PL), which form mixed micelles with high solubilizing capacity for many poorly soluble drugs (1, 2). In general, mean fasted-state BS concentrations typically range from 1.5 to 6 mM (3, 4), while mean postprandial concentrations typically range from 5 to 25 mM depended on the composition of the meal (5, 6).

Knowledge of the composition and characteristics of human intestinal fluid is expanding; however, in order for this information to be translated into useful predictive dissolution media, more studies including physicochemical characterization of media simulating the human intestinal fluids are needed (7, 8). The intermediate phases produced during lipid digestion can play a significant role in drug solubilization and trafficking in the gastrointestinal tract, thereby influencing the overall performance of the formulation (9, 10). In light of this, characterization of such media can offer important information on the role of intermediate phases of lipid digestion and drug solubilization in the gastrointestinal tract.

Fed-state simulated intestinal fluids are often composed of sodium taurocholate, phosphatidylcholine, monoolein, and oleic acid, with the latter two compounds in a ratio of 1:2. However, recent studies have shown that the actual ratio present in human intestinal fluids is more likely to be 6:1 rather than 2:1 (6). Moreover, cholesterol and LPC are also present. Given that the apparent solubility of poorly water-soluble drugs is related to the colloidal phases produced in the small intestine, studies characterizing these media offer insights into the mechanisms underlying the digestion processes. During lipid digestion, the phospholipids are hydrolyzed to lysophospholipids and free fatty acids. In an attempt to elucidate the impact of LPC on the structural characteristics of the produced intermediate colloidal phases, media containing LPC instead of PL with (free fatty acid) FFA/MG ratios of 2:1 and 6:1 were prepared. In addition, Chol was added in a ratio of FFA/Chol 20:1 corresponding to the approximate ratio found in human intestinal fluids (6).

MATERIALS AND METHODS
Materials
Oleic acid, sodium taurocholate, cholesterol, sodium chloride, sodium azide, lyso-phosphatidylcholine, and trizma maleate were purchased from Sigma (St. Louis, MO). Danisco (Denmark) generously donated glycerol monooleate (Monoolein). Water was obtained from a Milli-Q water purification system manufactured by Millipore (USA.) All other chemicals were of analytical grade.

Preparation of Biorelevant Media
The composition of the biorelevant media is presented in Table 1. Combinations of sodium taurocholate (bile salt, ST), lecithin (phospholipid, PC), monoolein (monoglyceride, MO), and oleic acid (fatty acid, OA) were prepared at different ratios. The biorelevant media were prepared by...
weighing the exact amounts of the components. The osmolarity of the buffer solution was fixed at 270 mOsm/kg, included 100 mM trizma maleate at pH 6.5, and contained 65.1 mM NaCl. Buffer solution was added to give the desired molar ratios and left for 24 h under stirring at 37 °C. To prevent microbial growth, 3 mmol of sodium azide was added. Each medium was prepared in triplicate.

### Size and ζ-Potential Studies

Biorelevant media were measured at 25 °C using dynamic light scattering (DLS) (Malvern Nanosizer ZS, Malvern Instruments, UK). The refractive index and viscosity of the water used in the study were 1.333 and 0.891 cP, respectively. The ζ-potential of the dispersions was calculated by the instrument according to the Helmholtz-Smoluchowski equation. Both size and ζ-potential determinations were performed in triplicate.

### Cryo-Transmission Electron Microscopy (Cryo-TEM)

The results presented here are the outcomes for two different batches of each medium and an evaluation of 89 images. The samples for the Cryo-TEM studies were prepared in a controlled-environment vitrification system (CEVS). A small amount of the sample (5 µL) was put on a carbon film supported by a copper grid and blotted with filter paper to obtain a thin liquid film on the grid. The grid was quenched in liquid ethane at −180 °C and transferred to liquid nitrogen (−196 °C). The samples were characterized with a transmission electron microscope (Philips CM120 BioTWIN Cryo) equipped with a post-column energy filter (GATAN GIF 100) using an Oxford CT 3500 cryoholder and workstation. The acceleration voltage was 120 kV and the working temperature was −180 °C. The images were recorded with a CCD camera (Gatan 791) under low dose conditions. The defocus was approximately 1 µm.

### Results and Discussion

#### Size and ζ-Potential Studies

The relationship between the total concentration of anionic species and their ζ-potential values is illustrated in Figure 1. Overall, changes to the ζ-potential of the media are determined by the relative contribution of each component separately. BS and fatty acids (FA) possess a negative charge, while LPC and Chol are zwitterionic with no net charge. On the other hand, monoolein is a neutral lipid and does not contribute to the ζ-potential. Medium IV exhibits the highest ζ-potential values in absolute numbers (−32.56 mV) due to higher levels of oleic acid (OA) and MO. The differences in the ζ-potential values between medium II and media I, III, and IV can be attributed to the presence of cholesterol in the latter. Previous studies have shown that the ζ-potential of cholesterol in an aqueous medium is positive (pH 1), reaching a zero value when pH ≈ 2.9, which is the isoelectric point, and decreasing to negative values with a further increase in pH. These results can be qualitatively explained by assuming that an increasing OH− adsorption is taking place on the cholesterol surface, increasing the negative charge of the media.

The dominant particles for media II, III, and IV were micelles with a particle size range of 5.69–8.05 nm (Table 2). In medium I, larger particles were also present; this heterogeneity rendered it impossible to measure the particle size distribution with DLS.

### Cryo-TEM Studies

Figure 2 depicts the Cryo-TEM images of colloidal structures present in medium I. Vesicle diameters from 50 to 800 nm were seen. Undulations and ripples were visualized on the bilayers in the inner part of the vesicles (Figure 2C, black arrow). Occasionally, ruptured vesicles were recognized as well (Figure 2D, white arrow). The main components in these colloidal phases were MO, OA, and PC. These colloidal phases closely resembled PL liposomes in their structure and morphological properties. Vesicles with a deformed internal structure were recognized, suggesting surface tension or uneven lateral stress of these particles (Figure 2C). Most probably, these are intermediate structural features that have not yet reached equilibrium.

Cryo-TEM images of media II, III, and IV are depicted in Figure 3. In all cases, the dominating structures are micelles, seen as black “dots”, containing ST and LPC in

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**Table 1. Composition of Biorelevant Media (mM)**

<table>
<thead>
<tr>
<th>Medium</th>
<th>LPC</th>
<th>ST</th>
<th>OA</th>
<th>MO</th>
<th>Chol</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>3.75</td>
<td>15</td>
<td>15</td>
<td>7.5</td>
<td>0.75</td>
</tr>
<tr>
<td>II</td>
<td>3.75</td>
<td>15</td>
<td>15</td>
<td>2.5</td>
<td>–</td>
</tr>
<tr>
<td>III</td>
<td>3.75</td>
<td>15</td>
<td>15</td>
<td>2.5</td>
<td>0.75</td>
</tr>
<tr>
<td>IV</td>
<td>5</td>
<td>20</td>
<td>20</td>
<td>3.33</td>
<td>1</td>
</tr>
</tbody>
</table>

levels representative of the fed state. The data obtained from the Cryo-TEM studies for media II, III, and IV are in good agreement with the DLS studies.

To summarize, the following observations have been made regarding the colloidal structures in the tested media:

1) The replacement of PC, an amphiphile known to induce lamellar and vesicular phases (12), with LPC, which forms micelles when dispersed in aqueous environments (13), in the media containing OA/MO 6:1 (media II, III, and IV) eliminated the formation of vesicles. Micelles were the dominating structural features with particle sizes between 5.6 and 8.0 nm.

2) Media I and III are identical except for an additional 5 mM of MO in medium I. This leads to the formation of vesicles that coexist with micelles in the medium. This can be explained by the swelling characteristics of MO and its ability to form lyotropic liquid crystals (14).

3) The presence of low levels of cholesterol increases the absolute \( \zeta \)-potential values of the media (compare medium II with I and III); however, it has little or no effect on the colloid structures present in the media. There was no evidence of cholesterol monohydrate crystals in the media. Such structural features have been visualized by Cryo-TEM previously in human bile where cholesterol concentrations were between 5 and 18 mM (15). The structures observed in the current study are in broad agreement with previous reports where model lipid systems containing monoglycerides, oleic acid, and sodium taurodeoxycholic acid were visualized by freeze-fracture electron microscopy (12). In samples with high concentrations of lipolytic products, unilamellar and multilamellar vesicles were visualized. Additionally, long chains FFA have demonstrated their ability to form unilamellar vesicles upon dispersion in aqueous solutions (16). Figure 4 illustrates typical structures seen in a previous study (17) with media containing the same ratios of ST, OA, and PC (instead of LPC), as the in the present study, but with higher amounts of MO.

**CONCLUSION**

The solubility profiles of APIs are greatly affected by the diversity and complexity of the media in terms of structure and size, emphasizing the important interaction between a lipid-based formulation and the endogenous lipid system. Therefore, it is important to gain a better understanding of the impact of these species on the intermediate colloid phases present in the GI tract during dissolution.

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Table 2. Size Distribution of Structures or Micelles in Simulated Intestinal Fed-State Fluids (nm)

<table>
<thead>
<tr>
<th>Medium</th>
<th>Size</th>
<th>Formed Structures in the Medium</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>Not measured</td>
<td>Micelles and Vesicles</td>
</tr>
<tr>
<td>II</td>
<td>5.69 ± 0.56</td>
<td>Micelles</td>
</tr>
<tr>
<td>III</td>
<td>8.05 ± 1.98</td>
<td>Micelles</td>
</tr>
<tr>
<td>IV</td>
<td>6.75 ± 0.26</td>
<td>Micelles</td>
</tr>
</tbody>
</table>

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Figure 2. Cryo-TEM images of simulated intestinal fluids (medium I). Bar represents 100 nm. (A) Unilamellar (black arrow), bi-lamellar (white arrow), (B) multi-compartmental vesicles (black arrow) co-existing with (A) micelles (black dots) were present. (C) Undulations and ripples (black arrow). (D) Ruptured vesicles (white arrow).

Figure 3. Cryo-TEM images of simulated intestinal fluids (media II, III, and IV). Bar represents 100 nm.

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The solubility profiles of APIs are greatly affected by the diversity and complexity of the media in terms of structure and size, emphasizing the important interaction between a lipid-based formulation and the endogenous lipid system. Therefore, it is important to gain a better understanding of the impact of these species on the intermediate colloid phases present in the GI tract during dissolution.
Figure 4. Cryo-TEM images of simulated intestinal fluids containing PC instead of LPC and high ratios of MG. In all cases, (A) multilamellar, (B) internal deformed structures, and (C) multivesicular structures were identified to the presence of PC and MG in broad agreement with the structures recognized in Medium I. (Reprinted with permission from ref 17. Copyright 2009 Springer.)

drug dissolution. This work sheds some light on these structures and lays the framework for future studies.

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REFERENCES


